SI. No.:....



Depository Participant with CDSL DP SEBI Regn. No. IN-DP-CDSL-729-2014

DEMAT ACCOUNT OPENING FORM

	E:							
DP ID :	1	2	0	8	0	3	0	0
CLIENT ID :								



IMPORTANT INSTRUCTIONS

1	ID Proof	1 Self-attested copy of any of the following documents :i) Passport NumberiV) Driving Licenceii) Voter ID cardv) UID (Aadhaar)iii) PAN cardvi) NREGA Job card					
2	Address Proof	1 Self-attested copy of any of the following documents : i) Passport Number iii) Driving Licence v) NREGA Job card ii) Voter ID card iv) UID (Aadhaar)					
3	Bank Proof						

Note : Please put your signature in places marked which are numbered for your convenience.

IN-PERSON VERIFICATION :

Name/Details of Branch/	
Service Centre	
Name of the DP Staff	
Signature of the DP Staff &	
Employee Code	
Place & Date when 'IN PERSON'	Place :
verification was carried out	Date :

For Individuals



Fortune Capital Services Private Limited

Depository Participant with CDSL DP SEBI Regn No. IN-DP-CDSL-729-2014 Regd. Office : "Kochar Technology Park", 6th Floor, SP 31-A, 1st Cross Road, Ambattur Industrial Estate,

Ambattur, Chennai – 600 058. Phone : 044 - 4560 9696 Email : ftc@ftconline.in Web : www.ftconline.in

(To be filled by the Depositor	ry Participant)

Application	Form I	No.							Date				
DP Internal	Refere	ence N	0.										
DP ID	1	2	0	8	0	3	0	0	Client ID				

Additional KYC Form for Opening a Demat Account

(To be filled by the applicant in **BLOCK LETTERS** in English)

I/We request you to open a demat account in my/ our name as per following details :-

Holders Details

Sole/ First Holder's Name	PAN UID				
Second Holder's Name	PAN UID				
Third Holder's Name	PAN UID				

Name *	
* In case of	Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., Although the account is opened in the name of
natural per	ersons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., Should be mentioned above.

Type of Account (Please tick whichever is applicable)

Status	Sub - Sta	atus				
🗌 Individual	Individual Resident Individual Director's Relative Individual Promoter Individual Margin Trading A/c (MANTRA)	Individual - Director Individual HUF / AOP Minor Others (specify)				
	NRI Repatriable	NRI Non-Repatriable NRI Non-Repatriable Promoter Others (specify)				
Foreign National	🗌 Foreign National 🗌 Foreign National - De	epository receipts Others(specify)				
Details of Guardian (Incase the	e account holder is a minor)					
Guardian's Name		PAN				
Relationship with the Applicant						
I / We instruct the DP to receive each (If not marked, the default option w	ch and every credit in my / our account ould be 'Yes")	[Automatic Credit]				
I/We would like to instruct the DP to my / our account without any other f (If not marked, the default option would	•	🗌 Yes 🗌 No				
Account Statement Requirement	As per SEBI Regulation Daily Weekly	Fortnightly Monthly				
I / We request you to send Electroni	c Transaction-cum-Holding statement at the email ID	☐ Yes ☐ No				
I / We would like to receive the Copy of Charges for Depository Services - Schedule A & Rights and Physical Electronic Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories.						
I / We would like to share the email	ID with the RTA	🗌 Yes 🗌 No				
I / We would like to receive the Annual Report Physical Electronic (Tick the applicable box. If not marked, the default option would be in Physical) Both Physical and Electronic						
I/We wish to receive dividend / inter below through ECS? (If not marked, [ECS is mandatory for locations not		🗌 Yes 🗌 No				

b





Bank Code (9 digit MICR code)								
IFS Code (11 character)								
Account Number								
Account Type	Sav	vings	Current	Others (Sp	ecify	y) _		
Bank Name								
Branch Name								
Bank Branch Address								
	City			State				
	Country			Pincode				

Submit Bank proof as per following :

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO, (or)
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.
- In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document and it should be self-certified by the BO.

Other Details

Gross Annual Income Details

Income Range pe	r annur	n : Up to Rs.1 Lac Rs.1-5	Lac 🗌 Rs.5-10 Lac 🗌 Rs.10-2	5 Lac 🗌 More	e than Rs.25 Lacs				
Networth as on (date)	(Rs) (Net worth should) (Net worth should not be older than 1 year)					
Occupation (Please tick any one)		Private / Public Sector Govt. Service Business Professional Agriculture Retired Housewife Student Others (Specify)							
Please Tick, if Applicable	D Po	litically Exposed Person (PEP)	/ 🗌 Related to a Politically Expos	ed Person (RPEP)					
Any other information :									
Account to be oper	rated thr	ough Power of Attorney (POA)	Yes	🗌 No					
SMS Alert Facility Mobile No. +91 Refer to Terms & Conditions [Mandatory, if you are giving Power of At given as Annexure - 2.4 (If POA is not granted & you do not wish t)				is option)	☐ Yes ☐ No				
Transactions Using S Texting Facility (TR	I wish to avail the TRUST facility Using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. Transactions Using Secured Texting Facility (TRUST), I/We wish to register the following clearing member Ids under my/our below mentioned BO ID registered for TR								
Refer to Terms & Cor Annexure - 2.0		Stock Exchange Name/ID	Clearing Member Name	Clearing Membe	er ID (Optional)				
		NSE FORTUNE CAPITAL SERVICES PVT LTD 14572							
		BSE	FORTUNE CAPITAL SERVICES PVT LTD	6524	ļ.				
Easi		-	DSL's website : <u>www.cdslindia.com</u> palances, transactions and value of t	he Portfolio online.	🗌 Yes 🗌 No				



Form for Nomination

Nomination Details

Nomination Registration No.

Dated

I / We the Sole holder / Joint holders / Guardian (in case of minor) hereby declare that :

□ I/We do not wish to nominate any one for this demat account.

□ I/We nominate the following person(s) who is/are entitled to receive security balances lying in my / our account, particulars whereof are given below, in the event of my / our death.

Nomination Details	Nominee 1	Nominee 2	Nominee 3
Nominee Name :			
*First Name :			
Middle Name :			
*Last Name			
Nomination Details	Nominee 1	Nominee 2	Nominee 3
*Address			
*City			
*State			
*Pin			
*Country			
Telephone No.			
FAX No.			
PAN No.			
UID			
Email ID			
*Relationship with the BO			
Date of birth (Mandatory			
if Nominee is a minor)			
dd-mm-yyyy			
Name of the Guardian of			
Nominee (if nominee is a			
minor)			
*First Name :			
Middle Name :			
*Last Name			
*Address of the guardian			
of nominee			
*City			
*State			
*Pin			
*Country			
Age			
Telephone			
Fax No.			
Email ID			



Nomination Details	Nominee 1	Nominee 2	Nominee 3
*Relationship of the			
Guardian with the			
Nominee			
*Percentage of			
allocation of securities			
*Fractional allocation			
of the securities if any			
[Please tick the			
respective nominee,			
(any one) if tick not			
marked default will be			
first nominee]			

Note : The nominee residual securities: incase of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.

* Marked is Mandatory field

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me us. Note : One witness shall attest signature(s) / thumb impression(s)

	WITNESS
NAMES OF WITNESS	
ADDRESS OF WITNESS	
SIGNATURE OF WITNESS	

I/We have 'Rights and Obligations of BO-DP' including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

Signatures			
	First / Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Name			

(Signatures should be preferably in blue ink).

Kindly read the following instructions before filling up Nomination Details



- 1. The nomination can be made only by Individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non-Individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family (HUF), Holder of Power of attorney cannot nominate. If the account is held jointly, all the joint holders must sign the nomination form.
- 2. Nomination can be made in favour of a Minor, in that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner(s). Guardian should be someone other than the holders of the beneficiary account.
- 3. The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a Family or a Power of attorney holder. A Non-resident Indian (NRI) can be Nominee subject to the RBI Regulations in force, from time to time.
- 4. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly the nomination in respect of the securities shall stand terminated upon transfer of the securities.
- 5. Transfer of securities in favour of a nominee shall be valid discharge by the Depository or Depository Participant against the legal heir the beneficial owner(s).
- 6. The cancellation of Nomination can be made by the same persons who made the original nomination. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family (HUF), Holder of Power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all the joint holders must sign the cancellation form.
- 7. On cancellation of nomination, the nomination shall stand rescinded and the Depository / Depository Participant shall not be under any obligation to transfer the securities in favour of the Nominee.
- 8. Nomination can be made up to three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of shares for each nominee that shall total up to hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
- 9. In case you do not wish to nominate, we request you to tick the first option.

(To be filled by DP)

Nomination accepted and registered vide Registration No. ______ dated ______ dated _____

For FORTUNE CAPITAL SERVICES PVT. LTD.

Authorised Signatory

DECLARATIONS

I/We have received and read the Rights and Obligations document of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I / We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action. In case of non-resident account, I/We also declare that I/We have complied and will continue to comply with FEMA regulations.

I/We hereby confirm that I/We will act on my/our own behalf and will continue to act to my/our behalf unless otherwise communicated in writing.

DECLARATIONS RE : PREVENTION OF MONEY LAUNDERING

Prevention of Money Laundering Act, 2002 (hereinafter referred to as "Act") came into effect from July 1, 2005 vide Notification No. GSR 438(E) dated July, 1 2005 issued by Department of Revenue, Ministry of Finance, Government of India. Further SEBI vide its circular reference number ISD/CIR/RR/AML/1/06 dated January 18, 2006 mandated that all intermediaries should formulate and implement a proper policy framework as per the guidelines on anti money laundering measures and also to adopt a Know Your Customer (KYC) policy. The intermediaries may, according to their requirements specify additional disclosures to be made by clients for the purpose of identifying , monitoring and reporting incidents of money laundering and suspicious transactions undertaken by clients. SEBI also issued another circular reference no. ISD/CIR/RR/AML/2/06 dated March 20, 2006 advising all intermediaries to take necessary steps to ensure compliance with the requirement of section 12 of the Act, interalia, maintenance and preservation of records and reporting of information relatinto cash and suspicious transactions to Financial Intelligence Unit - India (FIU-IND), New Delhi. The Investor should ensure that the amount invested in the securities and represented as credit in their demat account is through legitimate sources only and does not involve and is not designated for the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act, Prevention of Corruption Act and/or any other applicable law in force and also any laws enacted by the Government of India from time to time or any rules, regulations, notifications or directions issued thereunder.

To ensure appropriate identification of the customer(s) under its KYC policy and with a view to monitor transactions, FCSPL DP reserves the right to seek information, record customer's telephonic calls and/or obtain and retain documentation for establishing the identity of the customer, proof of residence, source of funds, etc. It may re-verify identity, seek and obtain any incomplete or additional information for this purpose.

Signatures			
	First / Sole Holder	Second Holder	Third Holder
Name			



ANNEXURE - 2.4

Terms And Conditions-cum-Registration Form for receiving SMS Alerts from CDSL [SMS Alerts will be sent by CDSL to Bos for all debits.]

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- 1. "Depository" means Central Depository (India) Limited, a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400 001 and all its branch offices and includes its successors and assigns.
- 2. 'DP' means Depository Participant of CDSL. The term covers all types of Dps who are allowed to open demat accounts for investors.
- 3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
- 4. SMS means "Short Messaging Service"
- 5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
- 6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
- 7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

- 1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
- 2. The service is currently available to the BOs who are residing in India.
- 3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
- 4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
- 5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

- 1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
- 2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off'' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
- 3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
- 4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
- 5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers from the CDSL and any other entity.
- 6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his Bo account / unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO amy send an email to CDSL at <u>complaints@cdslindia.com</u>. The BO is advised not to inform the service provider about such unauthorized debit to / transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
- 7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
- 8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
- 9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.



Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I / We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the Bos would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable)

BO ID	1	2	0	8	0	3	0	0									
		(Plea	se write	your 8	digit DP	ID)				(F	lease w	rite you	ur 8 digi	t Client	ID)		
Sole/ Firs	st Holde	r's Nam	e :														
Second H	lolder's	Name	:														
Third Hol	lder's Na	ame	:														
Mobile N	lumber	on whic	h messa	ages are	to be s	ent +		se write	e only th	e mobil	e numb	er with	out pref	fixing co	untry co	ode or z	ero)
The mob	ile num	ber is re	gistered	d in the	name o	f :											
Email ID	:																
			(Pleas	e write	only ON	IE valid	email ID) on wh	ich comı	municat	ion; if a	ny, is to	be sent	t)			
13	>						13]				Ŕ	F				
Signature	- es	Sole /	First Ho	older		-		Secon	d Holde	r			Thir	d Holde	r		
Place :					_ Da	te :											
								(7)							Ve	ersion	12.0.0



Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

- The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
- 2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

- 3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
- 4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

- 5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "*no charges are payable for opening of demat accounts*"
- 6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
- 7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

- 9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
- 10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

- 11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
- 12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

- 13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
- 14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such Bos and shall resume sending the transaction statement as and when there is a transaction in the account.
- 15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
- 16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.





(8)



Signatures

First / Sole Holder



Manner of Closure of Demat account

- 17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
- 18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

- 19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
- 20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

- 21. As per Section 16 of Depositories Act, 1996,
 - 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 - 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/Defreezing of accounts

- 22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
- 23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

- 26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
- 27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/her account, that may be in force from time to time.
- 28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
- 29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI.
- 30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
- 31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

Signatures			
	First / Sole Holder	Second Holder	Third Holder
Name			



Fortune Capital Services Private Limited

Regd. Office : "Kochar Technology Park", 6th Floor, SP 31-A, 1st Cross Road, Ambattur Industrial Estate, Ambattur, Chennai – 600 058. Phone : 044 - 4560 9696

CHARGES FOR DEPOSITORY SERVICES - SCHEDULE - A

I/We agree to pay the charges as per the following for our Demat account effective ______ (dd/mm/yyyy)

SERIAL. No.	CHARGE HEAD	CHARGES
1.	Annual Maintenance Charges	Rs.200 + GST (from 2nd year onwards)
2.	Documentation Charges	Rs. 175/-
3.	Dematerialization Charges	Rs. 2/- per certificate plus courier charges of Rs.35/- per demat
		request for every 500 gms.
4.	Debit Transaction charges	A flat charge of Rs.20/- plus applicable GST
	Market / Inter-depository	
5.	SLB Charges	For Security Borrowing : Rs.25/- plus Depository charges
		For Security Lending : Rs.25/- plus Depository charges
6.	Pledge Charges Creation/	Rs.50/- per transaction / ISIN
	Closure and	
	Confirmation of both /	
	Invocations	
7.	Rematerialisation Charges	Rs.50/- per Certificate + Depository Charges
8.	Same Day Execution -	Rs.50/- or 0.025% whichever is higher.
	Per Instruction / Per Scrip	
9.	Off-market Charges	Rs.50/- per ISIN
10.	Failed / Rejected Transactions	Rs.30/- per transaction.
11.	Demat Rejection Charges	Rs.35/- per rejection.
12.	Adhoc / Non periodic	Rs.25/- per request upto 10 pages. Every additional 5 pages or a
	statement requests	portion thereof will be charges at Rs.10/- plus courier charges.
13.	E-Mail Statement	Periodic Bills and Transactions statements and other
		communications will be sent by email only. Requests for Physical
		statements will be charged as mentioned above.
14.	Margin Pledge charges	Rs. 20 + GST

Third Holder

POWER OF ATTORNEY

TO ALL TO WHOM THESE PRESENTS SHALL COME, I/WE	(Name of the
Beneficial Owner(s), residing at/having its registered office at	

____, send greetings.

Whereas I/We hold beneficial owner account number

with **Central Depository Services (India) Limited (CDSL)**, through **FORTUNE CAPITAL SERVICES PVT. LTD.**, a depository participant registered with Securities and Exchange Board of India (SEBI) as Depository Participant having DP ID - **12080300** and SEBI Registration No. **IN-DP-CDSL-729-2014**.

And Whereas I am / We are desirous to buy and sell securities through FORTUNE CAPITAL SERVICES PVT. LTD., who is a stock broker get registered with SEBI and Member of recognized stock exchange(s).

And Whereas I am / We are desirous of appointing FORTUNE CAPITAL SERVICES PVT. LTD., as my/our constituted attorney to operate my / our beneficial owner account on my / our behalf for a limited purpose in the manner herein after appearing and subject to conditions as provided herein.

Now know you all and these presents witness that I / We do hereby nominate, constitute and appoint **FORTUNE CAPITAL SERVICES PVT. LTD.,** (hereinafter referred to as "the stock broker") as my/our true and lawful attorney and authorize it to perform the following functions on my / our behalf:

i. to transfer securities held in my / our aforementioned beneficial owner account(s) or any other account (as per the Schedule I attached) of the stock broker maintained for the purpose of settlement of trades and margin obligations arising out of trades executed by me / us on any recognized stock exchange through the stock broker. However, the said power will be restricted to only transfer of securities towards margin / delivery obligations arising out of trades executed by me / us through the stock broker on any recognized stock exchange, provided that I / We have executed KYC & Demat /Trading account with the stock broker and opted for such exchanges.

In the event of the Stock Broker acquiring membership of any new Exchange or any Segment of any new / existing Exchange and in the event of my /our executing transactions after executing prescribed KYC with the Stock Broker for such new Segment(s) / Exchange(s), the relevant demat account operated by the Stock Broker shall be added to the above referred list; and I / We shall be deemed to have consented to such addition(s).

- ii. for meeting obligations arising out of any subscribing to such other products / facilities / service through the stock broker like Mutual Funds, Public Issues (shares as well as debentures), rights, offer of shares, etc.
- iii. towards monies / fees / charges etc. Due to the stock broker payable by virtue of my/our using / subscribing to any of the facilities / services availed by me/us at his / her instance.
- iv. to pledge the securities in favour of the Stock Broker for the limited purpose of meeting my / our margin requirement in connection with the trades executed by me/us on any recognized stock exchange through the stock broker. To re-pledes securities to the clearing member / clearing corporation as the case may be.
- v. to redeem mutual fund units held by me/us in demat form in my / our above beneficiary account in accordance with my / our instructions and to execute DIS for meeting obligations arising out of such instructions.

		R	
Signatures	Sole / First Holder	Second Holder	Third Holder

Third Holder

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Sol

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- vi. to return to me / us the securities or funds that may have been received by the stock broker erroneously or those securities or funds that the stock broker was not entitled to receive from me / us.
- vii. to send consolidated summary of my/our scrip-wise buy and sell positions taken with average rates to me / us by way of SMS / email on a daily basis, not withstanding any other document to be disseminated as specified by SEBI from time to time.
- viii. to apply for various products like Mutual Funds, Public issues (shares as well as debentures), rights, offer of shares, tendering shares in open offers etc pursuant to oral / written / electronic instructions given by me / us to the stock broker.

I / We ratify the instructions given by the aforesaid stock broker to the depository participant named herein above in the manner specified herein.

I/We further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue until it is revoked (without notice) in writing by me / us and that the said revocation shall be effective from the date on which the revocation notice is received by the stock broker in his office at **FORTUNE CAPITAL SERVICES PVT. LTD.** having its registered office at "Kochar Technology Park", 6th Floor, SP 31-A, 1st Cross Road, Ambattur Industrial Estate, Ambattur, Chennai – 600 058.

Signed and delivered by the within named Beneficial Owner(s)

Signatures			R .			
	First / Sole Holder	Second Holder	Third Holder			
Name						
In the presenc	e of Witness *(Name & Address of witne	ess)				
(Name of Witr	ness):					
Address :						
Date :	Place :					
We Accept			(Signature of Witness)			
For FORTUNE CAPITAL SERVICES PVT. LTD.						
Authorised Sig	gnatory					

Place : _____

Date :	
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* Witness signature compulsory.

SCHEDULE - I

SR.NO.	ACCOUNT TYPE	DP ID	DP NAME	CLIENT ID
1	NSDL Pool A/c – NSE	IN300095	ISSL	11620468
2	NSDL Pool A/c – BSE	IN300095	ISSL	11663119
3	CSDL Pool A/c – NSE	12080300	FCSPL	0000087
4	Principal A/c. In CDSL	12080300	FCSPL	0000091
5	CDSL NSE Early Pay-in Account	11000011	FCSPL	00019659
6	CDSL BSE Early Pay-in Account	11000010	FCSPL	00023690
7	Client Margin Pledge Account	12080300	FCSPL	00221198
8	Client Securities Margin Funding Account	12080300	FCSPL	00221204
9	Corporate TM Client Securities Margin Pledge	12080300	FCSPL	00231833

CHECK LIST

SI. No.	Details	Checked By	Checked By	Checked By
1.	Signature across Photograph			
2.	Signature in 41 Places			
3.	Clear & Legible ID Proof (Self attested)			
4.	Clear & Legible Address proof (Self attested)			
5.	Clear & Legible Bank Account Proof (Self attested) / Cancelled cheque leaf with IFSC Code duly signed			
6.	Clear & Legible Xerox copy of PAN card (Self attested)			
7.	Clear & Legible Financial Proof			

FOR OFFICE USE ONLY

MAPPING

	Code	Name
SUB-BROKER		
REFERRAL		
MB / MF		
RM NAME (DIRECT)		
RM NAME (INDIRECT)		

Data Entered in System by		
Name :	Signature :	